

## SOLE DECLARATION FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe that I am the original and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled ACRYLIC ESTERS OF 2-CYANO-2,4-PENTENEDIOIC ACID of which

[ ] is attached hereto.

[X] was filed on August 10, 1998 as United States Application Number 09/131,275 and, if applicable, was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

## Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)
Great Britain	9606328.4	March 26, 1996	

## Prior Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application Serial Number	Date of Filing (day, month, year)
60/055,791	August 15, 1997

## Prior United States Application(s)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned


And I hereby appoint, both jointly and severally, as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names:

Jerry W. Mills, Registration No. 23,005; Rodger L. Tate, Registration No. 27,399; Scott F. Partridge, Registration No. 28,142; James Remenick, Registration No. 36,902; James B. Arpin, Registration No. 33,470; Laurence H. Posorske, Registration No. 34,698; Steven P. Klocinski, Registration No. 39,521; Eric Sinn, Registration No. 40,177; and Floyd B. Chapman, Registration No. 40,555.

All correspondence and telephone communications should be addressed to **James Remenick; Baker & Botts, L.L.P., Suite 1300; The Warner; 1299 Pennsylvania Avenue, N.W.; Washington, D.C. 20004-2400, telephone number (202) 639-7700;** which is also the address and telephone number of each of the above listed attorneys.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature



Date

Aug 15, 1998Full Name of  
Sole Inventor**KOTZEV**  
Family Name**Dimiter**  
First Given Name**Lubomirov**  
Second Given Name

Residence

21 Carron Close, Corby, Northants NN17 2LB, United Kingdom

Citizenship

United Kingdom

Post Office  
Address

Same

**BAKER & BOTTS, L.L.P.****The Warner****1299 Pennsylvania Avenue, N.W.****Washington, D.C. 20004-2400****(202) 639-7700**

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Attorney Docket No.: 63454, 204  
 Applicant: D.L. KOTZEV  
 Serial No.:  
 For:

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Filed:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am: (check one)

- ☐ the owner of the small business concern identified below;  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Chemence Inc. ADDRESS  
 OF CONCERN 6425 Indway, Ning McFarland Ind. Park Alpharetta, GA 30201

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Reactive Monomers and the Adhesives and Polymers Thereof, by inventor(s) D.L. KOTZEV described in

(check one)

- ☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention according to their status as small entities. (37 CFR 1.37)

NAME \_\_\_\_\_ ADDRESS \_\_\_\_\_

NAME ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee of any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Hugh Cooke TITLE  
 OF PERSON OTHER THAN OWNER  
 PERSON SIGNING Chemence Inc. 6425 Indway, Ning McFarland Ind. Park Alpharetta, GA 30201 ADDRESS OF

SIGNATURE [Signature] DATE 15th August 1997

Peter & Sons, L.L.P.

The Weber

1220 Pennsylvania Avenue, N.W.  
 Washington, D.C. 20004-2400

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 13, 1999

PTAS



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BAKER & BOTTS, L.L.P.  
JAMES REMENICK  
1299 PENNSYLVANIA AVE., N.W.  
THE WARNER; SUITE 1300  
WASHINGTON, D.C. 20004-2400

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/07/1999

REEL/FRAME: 9934/0847  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KOTZEV, DIMITER L.

DOC DATE: 04/22/1999

ASSIGNEE:

CHEMENCE, INC.  
185 BLUEGRASS PARKWAY  
ALPHARETTA, GEORGIA 30005

SERIAL NUMBER: 09131275  
PATENT NUMBER:

FILING DATE: 08/10/1998  
ISSUE DATE:

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECEIVED

JUL 21 1999

BAKER & BOTTS

MAY 07 1999

ONLY PATENTS &amp; PAT. APPLNS.

To the Honorable Commissioner of Patents and Trademarks

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SIR: Please record the attached original document or copy thereof on which the particulars are:

1. Name of conveying party(ies) (assignor(s)):

Dimiter L. KOTZEV

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Chemence, Inc.

Address: 185 Bluegrass Parkway

Alpharetta, Georgia 30005

Country: U.S.A.

Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment

Execution Date: April 22, 1999

☐ Merger☐ Change of Name☐ Verified Translation☐ Security Agreement☐ Other

4. Execution date(s) on the Declaration if filed herewith:

4.5 Application number(s) or patent number(s). Others on additional sheet(s) attached? ☐ yes ☒ NoA. Patent Application No(s).  
(series code/serial number)First Inventor  
if not in Item 1

09/131,275 (formerly 60/055,791)

B. Patent No(s).

First Inventor  
if not in Item 1

5. Name and address of party to whom correspondence concerning document should be mailed:

JAMES REMENICK

Baker &amp; Botts, L.L.P.

The Warner; Suite 1300

1299 Pennsylvania Ave., N.W.

Washington, D.C. 20004-2400

(see telephone number below)

6. Number involved:

Appls. 1 + Pats: = Total 1

7. Amount of fee enclosed:

Above Total x \$40 = \$40.00

8. If above fee missing or inadequate charge  
insufficiency to Deposit Account number  
02-0375 (duplicate sheet not required.)

5.5. Atty Dkt: 63454.0104

05/12/1999 DEUYEN 00000071 09131275

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40.00

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.

Signature

Attorney: James Remenick

10. Total number of pages  
including this cover sheet.  
(Do NOT file dup. cover sheet)

4

Reg. No. 36.902

Date: May 6, 1999

Atty/Sec: JR:cd

Tel: (202) 639-7700

Fax: (202) 639-7890

## ASSIGNMENT

## KNOW ALL MEN BY THESE PRESENTS, THAT

WHEREAS, I, Dimiter L. Kotzev, a citizen of the United Kingdom, residing at 21 Carron Close, Corby, Northants NN17LB, United Kingdom (hereinafter "ASSIGNOR") have invented certain new and useful improvements entitled "ACRYLIC ESTERS OF 2-CYANO-2,4-PENTENEDIOIC ACID" described in United States Provisional Application Serial No. 60/055,791 filed August 15, 1997, and United States Patent Application Serial No. 09/131,275 filed August 10, 1998.

NOW, THEREFORE, BE IT KNOWN THAT FOR and in consideration of ten dollars (\$10) and other good and valuable consideration, paid to me by Chemence, Inc., a corporation of the State of Georgia with an office at 185 Bluegrass Parkway, Alpharetta, Georgia 30005 (hereinafter "ASSIGNEE"), the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto said ASSIGNEE, its successors assigns and legal representatives, the entire right, title and interest for the United States of America and all foreign countries in and to any and all improvements which are disclosed in the applications entitled "ACRYLIC ESTERS OF 2-CYANO-2,4-PENTENEDIOIC ACID" invented by said ASSIGNOR and described in said patent applications as noted herewith and fixed as United States Provisional Application Serial No. 60/055,791 filed August 15, 1997, and United States Patent Application Serial No. 09/131,275 filed August 10, 1998, and in and to all divisional, continuing, substitute, renewal, reexamination, reissue of said applications and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in

the United States and all foreign countries on said improvements; and including all rights of priority resulting from the filing of any such applications.

AND, ASSIGNOR agrees that said ASSIGNEE may apply for and receive Letters Patent in its own name; and that, when requested without charge to but at the expense of said ASSIGNEE, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, reexamination, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said ASSIGNEE, its successors, assigns and legal representatives, all the facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said ASSIGNEE, its successors, assigns and legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements in said ASSIGNEE, its successors, assigns and legal representatives; and we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon any such U.S. patent applications to said ASSIGNEE, its successors and assigns.

AND said ASSIGNOR covenants with said ASSIGNEE, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreements affecting the

rights and property herein conveyed has been made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned.

Executed on the date below indicated.

D. Koßer

Name: Dimitar L. Kotzev, Ph.D.

Date: April 22, 1999

IN WITNESS WHEREOF, I have hereunto set my hand and seal:

County of Johns )  
 ) ss:  
State of Georgia )

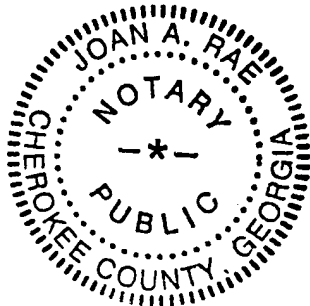
On this 22 day of April, 1999, before me a Notary Public in and for the County and State aforesaid, personally appeared **Dimitar L. Kotzev**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be of her free act and deed.

John K. Lee  
Notary Public

**Notary Public**

Notary Public, Cherokee County, Georgia  
My Commission Expires October 16, 2004

My Commission Expires \_\_\_\_\_



(SEAL)